



PTO/SB/21 (03-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

34

Application Number

10/687,247

Filing Date

10/16/2003

First Named Inventor

Scott Thomas Jones

Art Unit

2857

Examiner Name

Unknown

Attorney Docket Number

AUS920030615US1

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) |
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| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual

Anthony V.S. England

Signature

Anthony V S England

Date

3/29/2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

03/29/2004

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Anthony V.S. England

Signature

Anthony V S England

Date

3-29-2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PATENT
10/687,247

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:

Jones et al.

Serial No.: 10/687,247

Filed: 10/16/2003

Title: Method, Apparatus and Computer
Program Product for Efficient Per Thread
Performance Information

: Group Art Unit: 2857

: Intellectual Property Law Department
: International Business Machines Corp.
11400 Burnet Road
: Internal Zip 4054
: Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

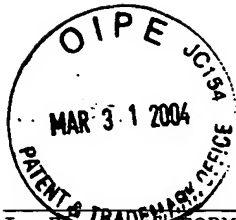
In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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In PTO FORM PTO-1449 (Modified)

Serial No. 10/687,247

Applicant: Jones et al.

Filing Date: 10/16/2003

Group: 2857

Atty. Docket No. AUS920030615US1

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

Reference Designation			U. S. PATENT DOCUMENTS				Filing Date
Examiner Initial	Document Number	Date	Name	Class	Subclass	if Appropriate	
_____	AA 6,016,466	01/18/00	Guinther et al.	702	187	05/14/98	
_____	AB						
_____	AC						
_____	AD						
_____	AE						
_____	AF						
_____	AG						
_____	AH						
_____	AI						
_____	AJ						
_____	AK						

FOREIGN PATENT DOCUMENTS							Initial
Examiner Number	Document Date	Country	Class	Subclass	Translation Yes	No	
_____	AL						
_____	AM						
_____	AN						
_____	AO						
_____	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
_____	AR Jones, et al., "Method, Apparatus and Computer Program Product for Efficient, Large Counts of Per Thread Performance Events," IBM Docket AUS920030599US1.
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.